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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | V03-0227   |
| First Inventor         | Harris, Jack                                     |
| Title                  | Apparatus for Removing Frangible Objects from... |
| Express Mail Label No. | EU 299011191US                                   |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 9]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 1 ]
5. Oath or Declaration [ Total Pages 1 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO: Assistant Commissioner for Patents

Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

22264 U.S. 10/66337  
03/01/03  
09/10/03

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: 10,152,764

Prior application information:

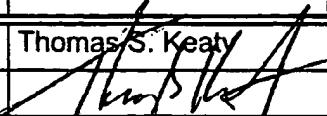
Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

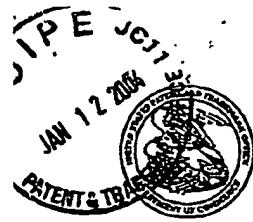
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|   |  |          |   |
|---|--|----------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 27257<br>(Insert Customer No. or attach bar code label here) | or       | <input type="checkbox"/> Correspondence address below |
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| Country   | Telephone  | Fax      |   |

|                   |   |                                   |         |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | Thomas S. Keaty   | Registration No. (Attorney/Agent) | 27,038  |
| Signature         |  | Date                              | 9/16/03 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/663,337         | 09/16/2003             | Jack Harris           | V03-0227               |

27257  
 THOMAS S. KEATY  
 KEATY PROFESSIONAL LAW CORP.  
 2140 WORLD TRADE CENTER  
 NO. 2 CANAL STREET  
 NEW ORLEANS, LA 70130

## CONFIRMATION NO. 3780

## FORMALITIES LETTER



\*OC000000011426889\*

Date Mailed: 12/05/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/14/2004 GWD001 00000014 110260 10663337

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 BR

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$450 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

01/15/2004 GWD001 00000059 110260 10663337 P.O. Box 1450

01 FC:2001 Date: 01/30/2004 SDIRETA1  
 01/14/2004 GWD001 00000014 110260 10663337 Alexandria VA 22313-1450  
 01 FC:2001 385.00 CR

Adjustment date: 01/30/2004 SDIRETA1  
 01/15/2004 GWD001 00000059 110260 10663337  
 01 FC:2001 65.00 CR

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/30/2004 5DIRETA1 00000006 110260 10663337

01 FC:2001 375.00 DA

PTO-1556

(5/87)

PAGE 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jack Harris

Serial No.: 10/663,337

Group Art Unit: 3275

Filed: September 16, 2003

Examiner:

For: "Apparatus for Removing  
Frangible Objects from a Container" Date: January 20, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

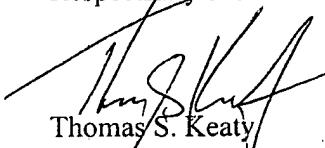
REFUND REQUEST

Dear Sir:

On January 6, 2004 a response to Notice of Non-Compliance was mailed to the U.S. Patent Office. It included copies of information evidencing all information necessary to file a Continuation Application was mailed to the Patent Office on September 16, 2003. The filing fee should have been \$375 (before the October 1, 2003 increase in fees) and there should not have been a surcharge of \$65 since all information was contained with the original application.

Please credit Deposit Account No. 11-0260 in the amount of \$75.

Respectfully submitted,



Thomas S. Keaty  
Reg. No. 27,038  
Keaty Professional Law Corporation  
2140 World Trade Center  
2 Canal Street  
New Orleans, LA 70130  
(504) 524-2100  
Attorney for Applicant

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is  
being deposited with the United States  
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to: Assistant Commissioner for Patents  
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Alexandria, VA 22313-1450

On: 1/20/04  
By: Debra Hom  
Debra Hom